NIH Primer

USING MATERIALS FROM

MEGAN COLUMBUS

NIH OFFICE OF EXTRAMURAL RESEARCH (OER)

NOVEMBER 2019

Meg Martin, Manager, Postaward Team 3
Julia Puntenney, Manager, Internal Operations

27 Institutes and Centers (IC)

Each with a different:

- mission & priorities
- budget
- funding strategy

NCI	NEI	NHLBI
NHGRI	NIA	NIAAA
NIAID	NIAMS	NIBIB
NICHD	NIDCD	NIDCR
NIDDK	NIDA	NIEHS
NIGMS	NIMH	NIMHD
NINDS	NINR	NLM
CC	CIT	CSR
FIC	NCATS	NCCIH

Grants Process Overview: grants.nih.gov

U.S. Department of Health & Human Services | National Institutes of Health

NIH GRANTS & FUNDING
NIH Central Resource for Grants and Funding Information

Search this Site

eRA | NIH Staff | Glossary | FAQs | Help

HOME ABO

ABOUT GRANTS

Navigate the NIH grants process.

- Grants Process Overview
- Get Started
- How to Apply
- Application Referral and Review
- Pre-Award and Post-Award Processes
- Forms Library

LEARN MORE

ABOUT GRANTS

Navigate the NIH grants process.

- Grants Process Overview
- Get Started
- How to Apply
- Application Referral and Review
- Pre-Award and Post-Award Processes
- Forms Library

LEARN MORE

How to Apply

Find Funding

Funded Research (RePORT)

ike Blog

News

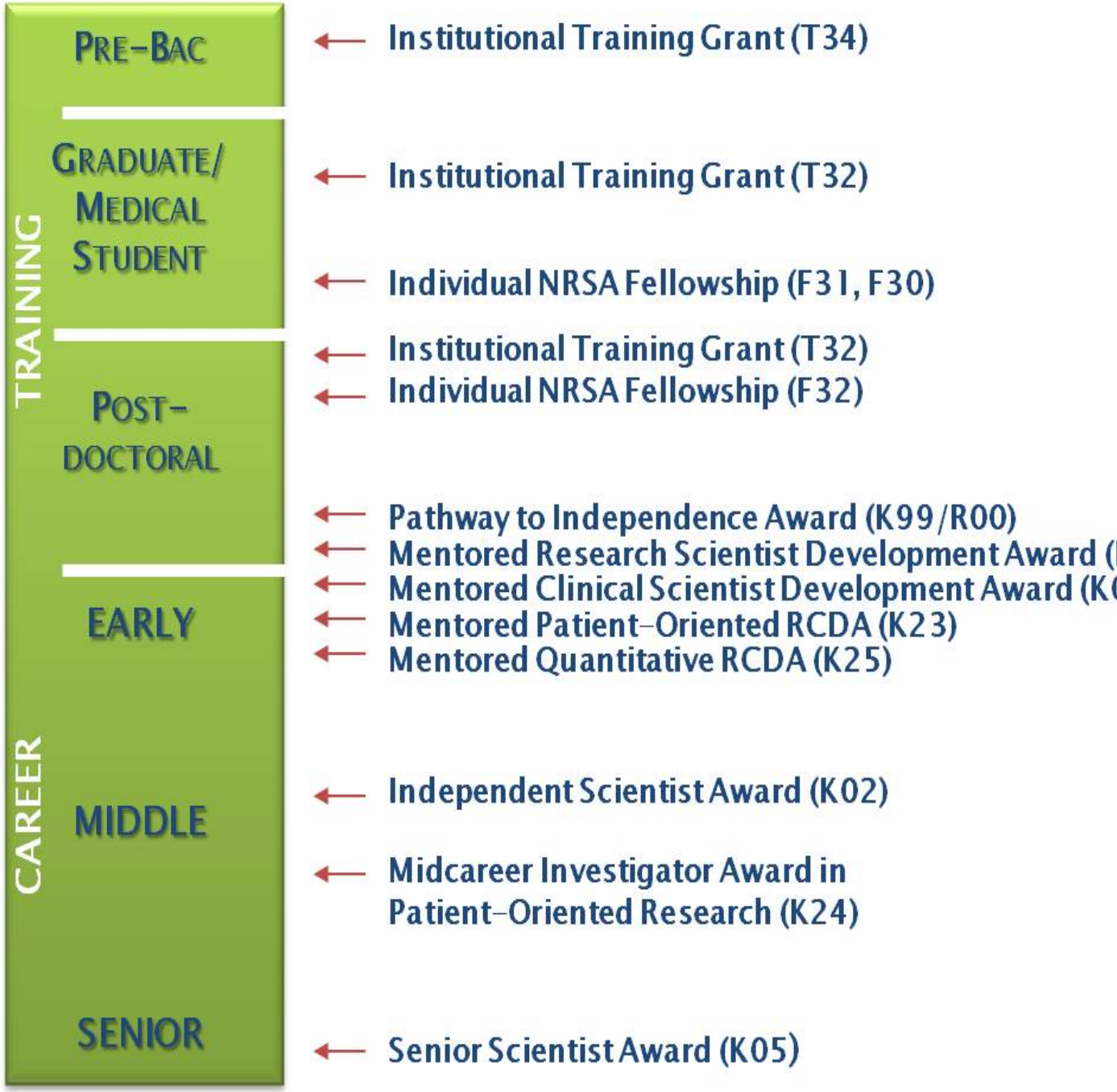
LEARN MORE

Funding Opportunity Announcements (FOAs)

- Used to advertise grant opportunities (aka program announcement, request for proposal, request for application, solicitation)
- Contain information for successful application
  - Due dates
  - Individual and organizational eligibility
  - Submission requirements
  - Scientific area(s)
  - Review criteria
  - Award administration
  - Agency points-of-contact

https://grants.nih.gov/funding/searchguide/index.html#/

Career Stages of Funding Programs



NIH Standard Due Dates

Activity Codes	Program Description	Cycle I Due Date	Cycle II Due Date	Cycle III Due Date
P Series *All - new, renewal, resubmission, revisions*	**Program Project Grants and Center Grants** NOTE: Applicants should check with the relevant Institute or Center (IC), since some do not accept P series applications for all three receipt/review/award cycles.	January 25	May 25	September 25
R18, U18 R25 *All - new, renewal, resubmission, revision*	**Research Demonstration** **Education Projects**	January 25	May 25	September 25
T Series D Series *All - new, renewal, resubmission, revision*	***Institutional* National Research Service Awards** **Other Training Grants** NOTE: Applicants should check with the relevant Institute or Center (IC), since some do not accept T series applications for all three receipt/review/award cycles. Applicants should refer to the IC Table of Contacts for information for each IC's scientific/research contact for the NRSA T32 program.	January 25	May 25	September 25

http://grants.nih.gov/grants/how-to-apply-application-guide/due-dates-and-submission-policies/standard-due-dates.htm

Develop and Submit the Application

- Carefully read the funding opportunity and application instructions
  - Carefully read the funding opportunity and application instructions
    - Carefully read the funding opportunity and application instructions
      - Carefully read the funding opportunity and application instructions
        - Carefully read the funding opportunity and application instructions

- Then submit application to SPCS proposal reviewers at least 3 business days prior to deadline date
- *"Early submissions are tracked on a calendar, not a clock"*

Review and Award Process

- NIH Scientific and Advisory Council review
- Just in time process
  - Budget Negotiation
  - Certification on Education on Human Subjects
  - Animals & Human Subject Protection Issues
  - Other Support Documentation
- Notice of Award

Application to Award takes ~9-10 months!

Notice of Award (NoA)

- Legally binding document
  - Award data and fiscal information
  - Grant payment info
  - Terms and conditions of award
- Grantee accepts terms and conditions of award as soon as funds are drawn down

NOA Screenshot/PDF

Award Numbers

Application Type	Activity Code	Institute Code	Serial Number	Support Year	Other
1	**R01**	**CA**	**654321**	**01**	**A1**
• 1 - New • 2 - Competing Continuation • 3 - Supplement • 5 - Noncompeting Continuation • 7 - Change of Grantee Institution	• R01 - Research Project • R03 - Small Research Grant • P01 - Research Program Project • T32 - Institutional National Research Service Award (NRSA) • U01 - Research Project Cooperative • K08 - Clinical Investigator Award • K22 - Career Transition Award • P50 - Specialized Center	References the NIH funding Institute • CA - National Cancer Institute • AG - National Institute on Aging • DA - National Institute on Drug Abuse • EY - National Eye Institute • MH - National Institute of Mental Health	Six-digit number assigned within an Institute / Center.	Two-digit number indicating the segment or budget period of a project.	• A - identifies an amendment. • S - identifies a supplement or revision record (language depends on branch).

https://era.nih.gov/files/Deciphering_NIH_Application.pdf

NOA Important Items

- Award Number
- Budget Period vs Project Period
- Budget
- Future Funding
- Terms and Conditions
  - Funding opportunity notice
  - NIH GPS: https://grants.nih.gov/policy/nihgps/index.htm
  - Uniform Guidance https://www.ecfr.gov/cgi-bin/text-idx?SID=584466fa28794bd31e58b391c8c76cb0&mc=true&node=pt2.1.200&rgn=div5
  - Carryover
  - SNAP/Non-SNAP
- Special Terms and Conditions
  - Restrictions on cost categories, supplements, etc.
- NIH Staff Contacts
  - Route official communications through SPCS

Grantee Roles and Responsibilities

- Key Roles
  - Authorized Organizational Representative/Signing Official (SPCS)
  - Principal Investigator
  - Research Administrators

Program Official

- Responsible for the programmatic, scientific, and/or technical aspects of a grant
- Provides scientific guidance to investigators pre- and post-award
- Develops grant initiatives
- Provides post-award oversight

Grants Management Officer

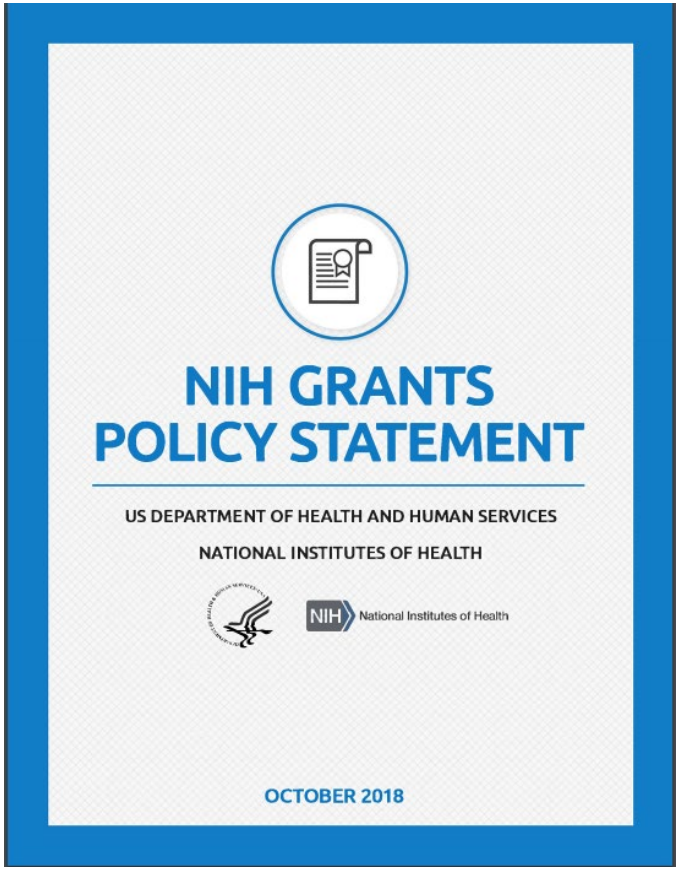
Responsible for completion of business management requirements

- Evaluates applications for administrative content and compliance with policy
- Negotiates Awards
- Interprets grants administration policies

"NIH PO recommends actions, NIH GMO approves actions"

NIH Grants Policy Statement

- Is a term and condition of all grant awards
- Explicitly defines roles, responsibilities
- Updated each fall



https://grants.nih.gov/policy/nihgps/index.htm

Post-Award Management

- Prior approval requests
- Annual progress reporting (RPPR)
- Federal financial reporting (SF-425)
  - Carryover: Auto/Prior Approval
- Invention reporting
  - Ongoing through TLA
- Yearly audits (as applicable)
- Closeout reporting
  - Final invention statement (HHS 568)
  - Interim or final RPPR
  - Final financial report

Questions

- Sponsor@email.arizona.edu
- Meg Martin
  - mzmartin@email.arizona.edu
- Julia Puntenney
  - jhwood@email.arizona.edu