Notice of Award

RESEARCH
Department of Health and Human Services
National Institutes of Health

Federal Award Date: 02/06/2019

NATIONAL INSTITUTE OF ALLERGY AND INFECTIOUS DISEASES

Grant Number: 2R01AI107117-06A1
FAIN: R01AI107117

Principal Investigator(s):
Wilber Wildcat, PHD

Project Title: Research Project

Sandoval, Paul , Ph.D
Director, PostAward
P.O. BOX 210158, rm 510
TUCSON, AZ 857210158

Award e-mailed to: sponsor@email.arizona.edu

Period Of Performance:
Budget Period: 02/08/2019 – 01/31/2020
Project Period: 08/01/2013 – 01/31/2024

Dear Business Official:

The National Institutes of Health hereby awards a grant in the amount of $460,500 (see “Award Calculation” in Section I and “Terms and Conditions” in Section III) to UNIVERSITY OF ARIZONA in support of the above referenced project. This award is pursuant to the authority of 42 USC 241 42 CFR 52 and is subject to the requirements of this statute and regulation and of other referenced, incorporated or attached terms and conditions.

Acceptance of this award including the “Terms and Conditions” is acknowledged by the grantee when funds are drawn down or otherwise obtained from the grant payment system.

Each publication, press release, or other document about research supported by an NIH award must include an acknowledgment of NIH award support and a disclaimer such as “Research reported in this publication was supported by the National Institute Of Allergy And Infectious Diseases of the National Institutes of Health under Award Number R01AI107117. The content is solely the responsibility of the authors and does not necessarily represent the official views of the National Institutes of Health.” Prior to issuing a press release concerning the outcome of this research, please notify the NIH awarding IC in advance to allow for coordination.

Award recipients must promote objectivity in research by establishing standards that provide a reasonable expectation that the design, conduct and reporting of research funded under NIH awards will be free from bias resulting from an Investigator’s Financial Conflict of Interest (FCOI), in accordance with the 2011 revised regulation at 42 CFR Part 50 Subpart F. The Institution shall submit all FCOI reports to the NIH through the eRA Commons FCOI Module. The regulation does not apply to Phase I Small Business Innovative Research (SBIR) and Small Business Technology Transfer (STTR) awards. Consult the NIH website http://grants.nih.gov/grants/policy/coi/ for a link to the regulation and additional important information.

If you have any questions about this award, please contact the individual(s) referenced in Section IV.

Sincerely yours,

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Philip E. Smith
Grants Management Officer
NATIONAL INSTITUTE OF ALLERGY AND INFECTIOUS DISEASES

Additional information follows

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SECTION I – AWARD DATA – 2R01AI107117-06A1

Award Calculation (U.S. Dollars)

Salaries and Wages	$112,138
Fringe Benefits	$30,250
Personnel Costs (Subtotal)	$142,388
Materials & Supplies	$95,713
Travel	$9,590
Other	$47,514
Publication Costs	$4,795
Federal Direct Costs	$300,000
Federal F&A Costs	$160,500
Approved Budget	$460,500
Total Amount of Federal Funds Obligated (Federal Share)	$460,500
TOTAL FEDERAL AWARD AMOUNT	$460,500
AMOUNT OF THIS ACTION (FEDERAL SHARE)	$460,500

SUMMARY TOTALS FOR ALL YEARS		
YR	**THIS AWARD**	**CUMULATIVE TOTALS**
6	$460,500	$460,500
7	$460,500	$460,500
8	$460,500	$460,500
9	$460,500	$460,500
10	$460,500	$460,500

Recommended future year total cost support, subject to the availability of funds and satisfactory progress of the project

Fiscal Information:

CFDA Name: Allergy and Infectious Diseases Research
CFDA Number: 93.855
EIN: 1742652689A1
Document Number: RAI107117C
PMS Account Type: P (Subaccount)
Fiscal Year: 2019

IC	CAN	2019	2020	2021	2022	2023
AI	8472343	$460,500	$460,500	$460,500	$460,500	$460,500

Recommended future year total cost support, subject to the availability of funds and satisfactory progress of the project

NIH Administrative Data:
PCC: I4F / **OC**: 414B / **Released**: SMITHPE 01/29/2019
Award Processed: 02/06/2019 12:01:47 AM

SECTION II – PAYMENT/HOTLINE INFORMATION – 2R01AI107117-06A1

For payment and HHS Office of Inspector General Hotline information, see the NIH Home Page at http://grants.nih.gov/grants/policy/awardconditions.htm

SECTION III – TERMS AND CONDITIONS – 2R01AI107117-06A1

This award is based on the application submitted to, and as approved by, NIH on the above-titled project and is subject to the terms and conditions incorporated either directly or by reference in the following:

a. The grant program legislation and program regulation cited in this Notice of Award.
b. Conditions on activities and expenditure of funds in other statutory requirements, such as those included in appropriations acts.

c. 45 CFR Part 75.
d. National Policy Requirements and all other requirements described in the NIH Grants Policy Statement, including addenda in effect as of the beginning date of the budget period.
e. Federal Award Performance Goals: As required by the periodic report in the RPPR or in the final progress report when applicable.
f. This award notice, INCLUDING THE TERMS AND CONDITIONS CITED BELOW.

(See NIH Home Page at http://grants.nih.gov/grants/policy/awardconditions.htm for certain references cited above.)

Research and Development (R&D): All awards issued by the National Institutes of Health (NIH) meet the definition of "Research and Development" at 45 CFR Part§ 75.2**.** As such, auditees should identify NIH awards as part of the R&D cluster on the Schedule of Expenditures of Federal Awards (SEFA). The auditor should test NIH awards for compliance as instructed in Part V, Clusters of Programs. NIH recognizes that some awards may have another classification for purposes of indirect costs. The auditor is not required to report the disconnect (i.e., the award is classified as R&D for Federal Audit Requirement purposes but non-research for indirect cost rate purposes), unless the auditee is charging indirect costs at a rate other than the rate(s) specified in the award document(s).

This institution is a signatory to the Federal Demonstration Partnership (FDP) Phase VI Agreement which requires active institutional participation in new or ongoing FDP demonstrations and pilots.

An unobligated balance may be carried over into the next budget period without Grants Management Officer prior approval.

This grant is subject to Streamlined Noncompeting Award Procedures (SNAP).

This award is subject to the requirements of 2 CFR Part 25 for institutions to receive a Dun & Bradstreet Universal Numbering System (DUNS) number and maintain an active registration in the System for Award Management (SAM). Should a consortium/subaward be issued under this award, a DUNS requirement must be included. See http://grants.nih.gov/grants/policy/awardconditions.htm for the full NIH award term implementing this requirement and other additional information.

This award has been assigned the Federal Award Identification Number (FAIN) R01AI107117. Recipients must document the assigned FAIN on each consortium/subaward issued under this award.

Based on the project period start date of this project, this award is likely subject to the Transparency Act subaward and executive compensation reporting requirement of 2 CFR Part 170. There are conditions that may exclude this award; see http://grants.nih.gov/grants/policy/awardconditions.htm for additional award applicability information.

In accordance with P.L. 110-161, compliance with the NIH Public Access Policy is now mandatory. For more information, see NOT-OD-08-033 and the Public Access website: http://publicaccess.nih.gov/.

In accordance with the regulatory requirements provided at 45 CFR 75.113 and Appendix XII to 45 CFR Part 75, recipients that have currently active Federal grants, cooperative agreements, and procurement contracts with cumulative total value greater than $10,000,000 must report and maintain information in the System for Award Management (SAM) about civil, criminal, and administrative proceedings in connection with the award or performance of a Federal award that reached final disposition within the most recent five-year period. The recipient must also make semiannual disclosures regarding such proceedings. Proceedings information will be made

publicly available in the designated integrity and performance system (currently the Federal Awardee Performance and Integrity Information System (FAPIIS)). Full reporting requirements and procedures are found in Appendix XII to 45 CFR Part 75. This term does not apply to NIH fellowships.
Treatment of Program Income:
Additional Costs

SECTION IV – AI Special Terms and Conditions – 2R01AI107117-06A1

Clinical Trial Indicator: No
This award does not support any NIH-defined Clinical Trials. See the NIH Grants Policy Statement Section 1.2 for NIH definition of Clinical Trial.

The budget period anniversary start date for future year(s) will be **February** 1.

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Direct costs awarded are limited to a 20% increase over the direct costs awarded in the last non-competing continuation (see NIH Guide, Vol. 26, No. 35, 10/17/97).

STAFF CONTACTS

The Grants Management Specialist is responsible for the negotiation, award and administration of this project and for interpretation of Grants Administration policies and provisions. The Program Official is responsible for the scientific, programmatic and technical aspects of this project. These individuals work together in overall project administration. Prior approval requests (signed by an Authorized Organizational Representative) should be submitted in writing to the Grants Management Specialist. Requests may be made via e-mail.

Grants Management Specialist: Philip E. Smith
Email: philip.smith2@nih.gov **Phone**: 240-669-2948 **Fax**: 301-493-0597

Program Official: John A. Peyman
Email: peymanj@niaid.nih.gov **Phone**: 301 451 3125 **Fax**: 301 480 1450

SPREADSHEET SUMMARY
GRANT NUMBER: 2R01AI107117-06A1

INSTITUTION: UNIVERSITY OF ARIZONA

Budget	Year 6	Year 7	Year 8	Year 9	Year 10
Salaries and Wages	$112,138	$112,138	$112,138	$112,138	$112,138
Fringe Benefits	$30,250	$30,250	$30,250	$30,250	$30,250
Personnel Costs (Subtotal)	$142,388	$142,388	$142,388	$142,388	$142,388
Materials & Supplies	$95,713	$95,713	$95,713	$95,713	$95,713
Travel	$9,590	$9,590	$9,590	$9,590	$9,590
Other	$47,514	$47,514	$47,514	$47,514	$47,514
Publication Costs	$4,795	$4,795	$4,795	$4,795	$4,795
TOTAL FEDERAL DC	$300,000	$300,000	$300,000	$300,000	$300,000
TOTAL FEDERAL F&A	$160,500	$160,500	$160,500	$160,500	$160,500
TOTAL COST	$460,500	$460,500	$460,500	$460,500	$460,500

Facilities and Administrative Costs	Year 6	Year 7	Year 8	Year 9	Year 10
F&A Cost Rate 1	53.5%	53.5%	53.5%	53.5%	53.5%
F&A Cost Base 1	$300,000	$300,000	$300,000	$300,000	$300,000
F&A Costs 1	$160,500	$160,500	$160,500	$160,500	$160,500
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