Introduction to Sponsored Projects & Contracting Services

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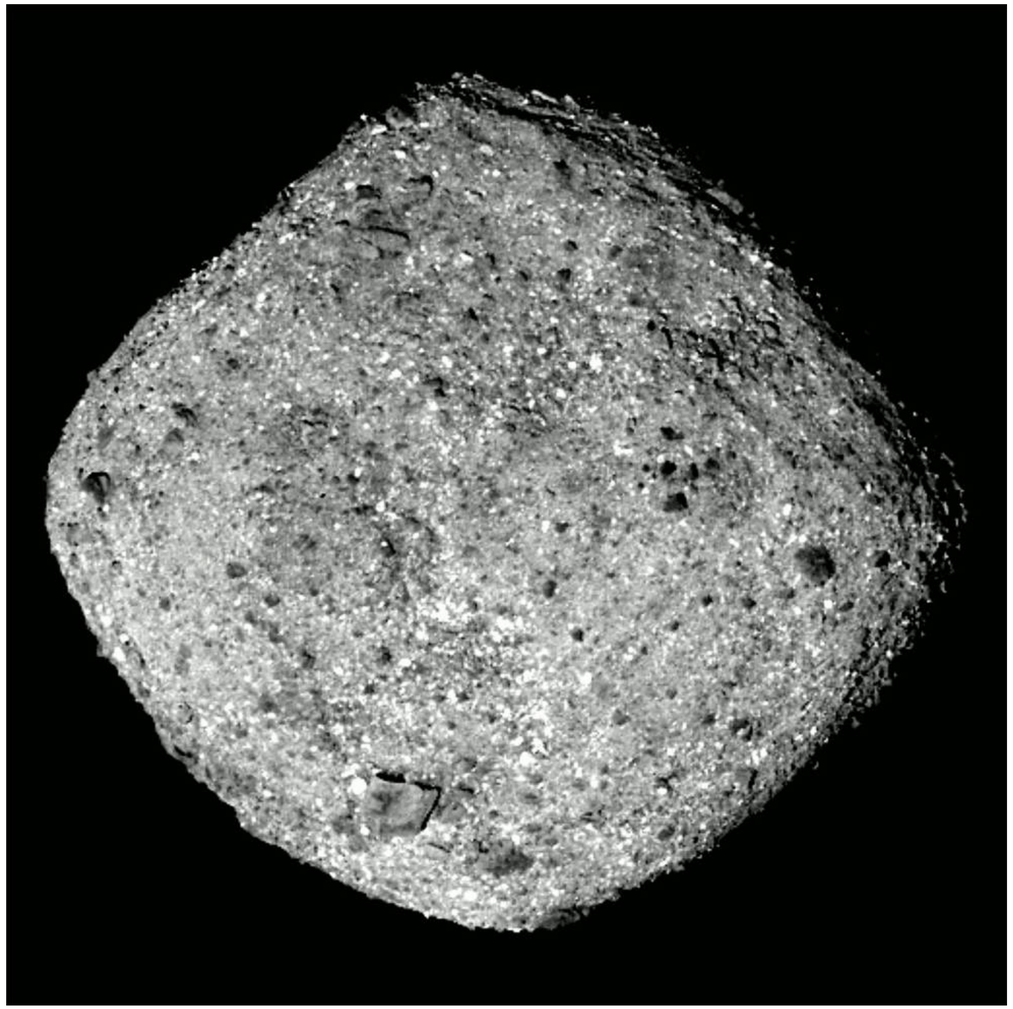
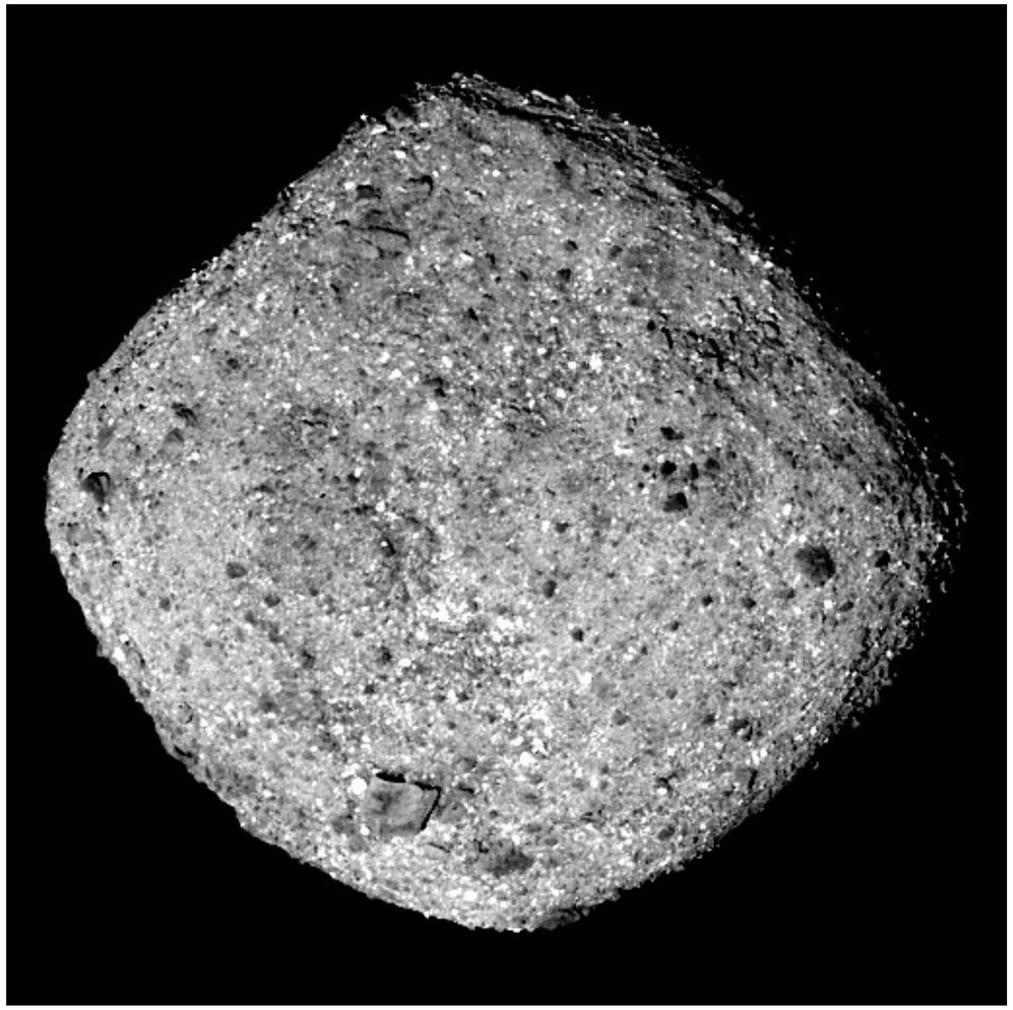
What is this?



OSIRIS-REx will travel to a near-Earth asteroid called Bennu and bring a small sample back to Earth for study.



- This image of Bennu was taken by the OSIRIS-REx spacecraft from a distance of around 50 miles (80 km). ***Credits: NASA/Goddard/University of Arizona***

Science at the UA

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- *All of Us* aims to engage a community of participants that reflects the diversity of America
- Nationally, the *All of Us* Research Program aims to enroll 1 million diverse people to share information about themselves through many years.
- The data will enable health providers to prevent and treat health conditions with more precision and effectiveness

All of Us RESEARCH PROGRAM

Banner Health

ARIZONA

MARIPOSA Your COMMUNITY HEALTH CENTER

Science at the UA

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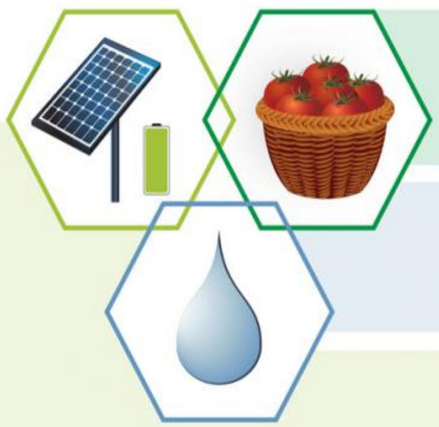
Agrivoltaics



Vegetable crops share the land with solar panels.

Shaded plants need less water and cool the back of the solar panels.

Cooler solar panels capture more energy from the sun.

#FEWNexus

Where does the funding come from?

- Congressionally appropriated funds for basic research
- Private foundations
- Federal contracts for specific work
- Private industry contracts for specific work

How is the funding found?

- RFPs on Federal Agency Websites
  - www.nsf.gov
  - www.nih.gov
  - http://www.csrees.usda.gov/
- Grants.gov
- Community of Science
- Websites of for-profits and non-profits

Agency Review of a Proposal

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The First NIH Study Section

1946

Recent NIH Study Section

Today

Research Funding

- For FY 2017, what was UA's research expenditure total (including both sponsored and institutionally funded research)
  - 478 million
  - 622 million
  - 800 million

Research at UA

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- 2017 research expenditure breakdown
  - 273m Federal
  - 20m State and Local Govt
  - 187m Institutional Funds
  - 12m Industry
  - 35m Nonprofit
  - 94m Other sources

Federal Agencies and UA funding

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- Dept of Defense – 23m
- Dept of Energy – 14m
- Health and Human Services – 108m
- NASA – 43m
- National Science Foundation - 56m
- US Dept of Agriculture – 12m

Sponsored Projects & Contracting Services (SPCS)

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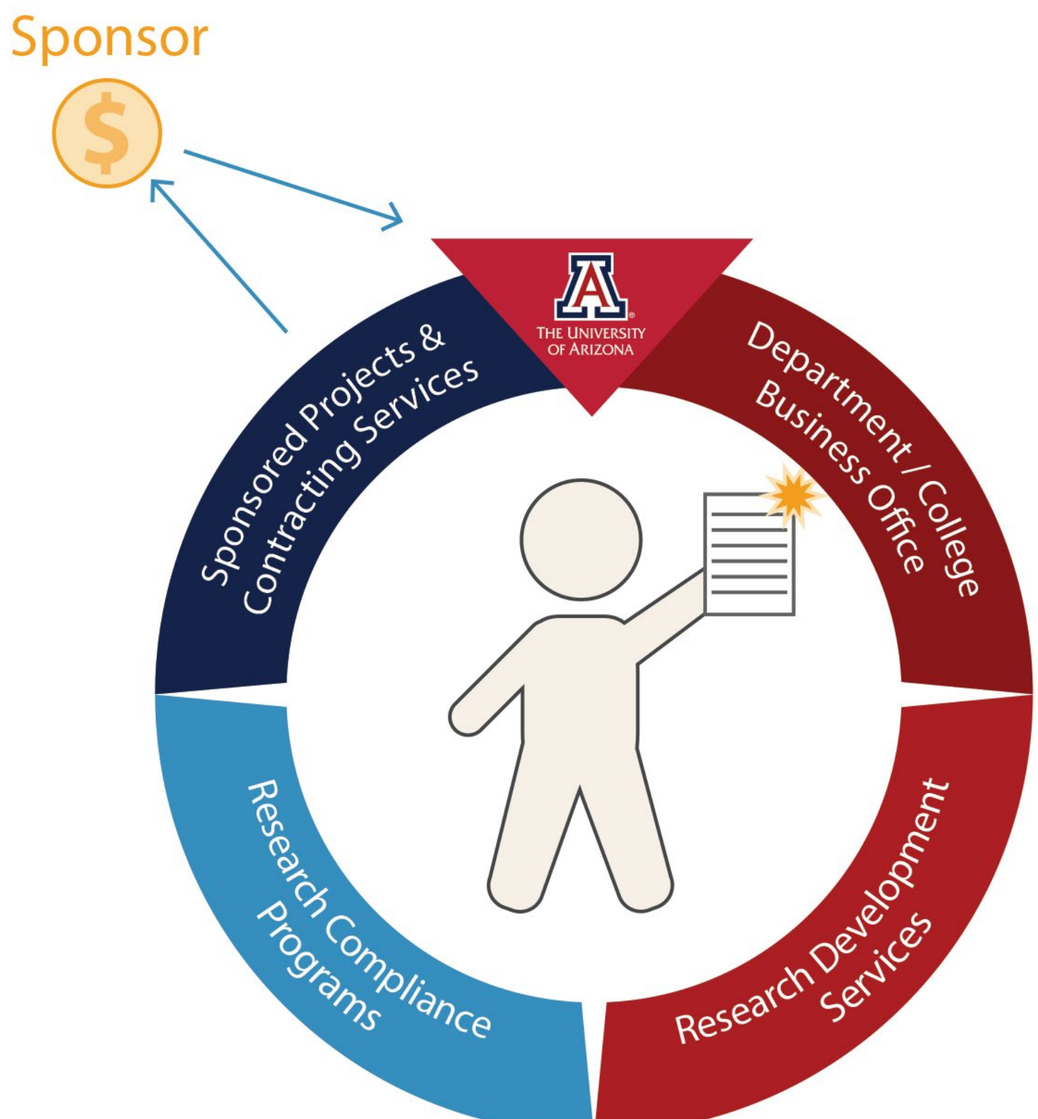

Why is SPCS here?

- Support & guidance for UA Investigators
- Managing risk to protect investigators and the University

Paradigm of Stewardship

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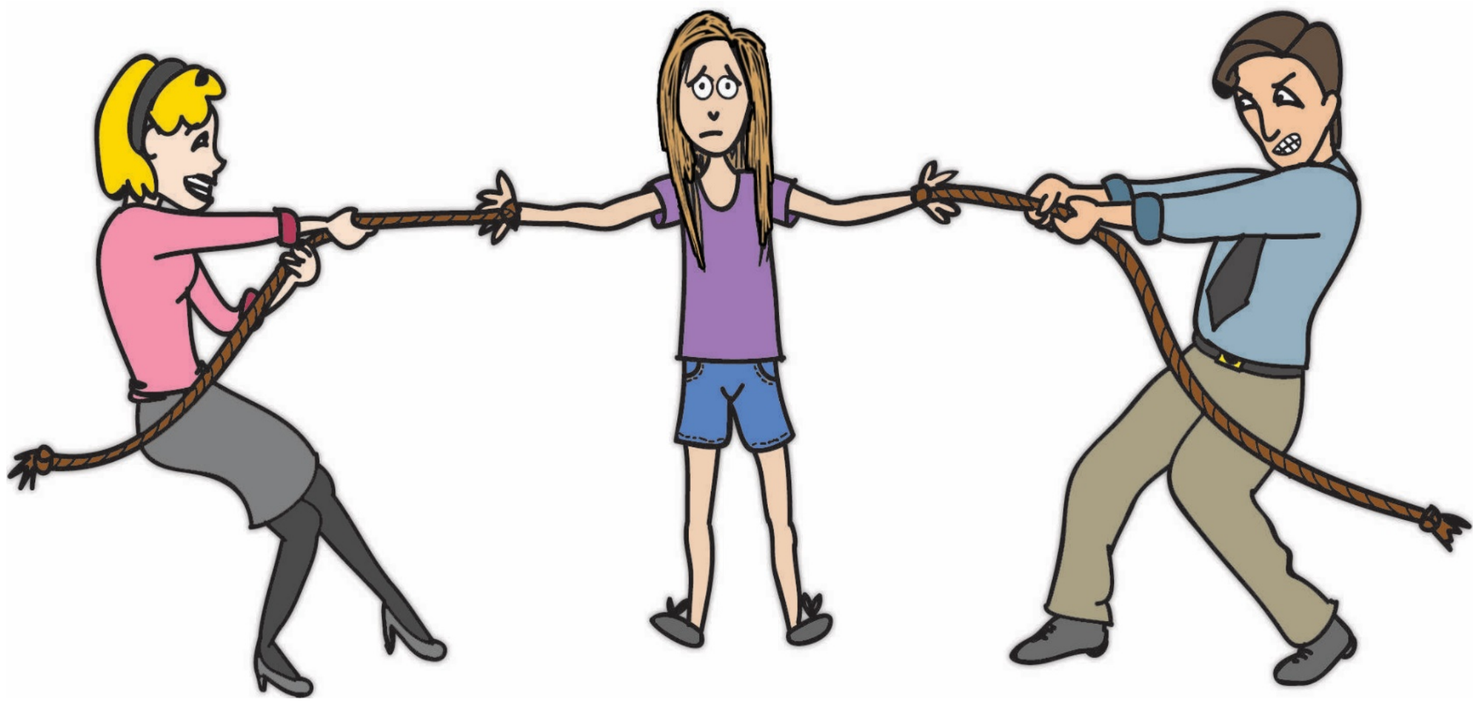
Innovation

Researcher

Stewardship



Who is Sponsored Projects & Contracting Services?

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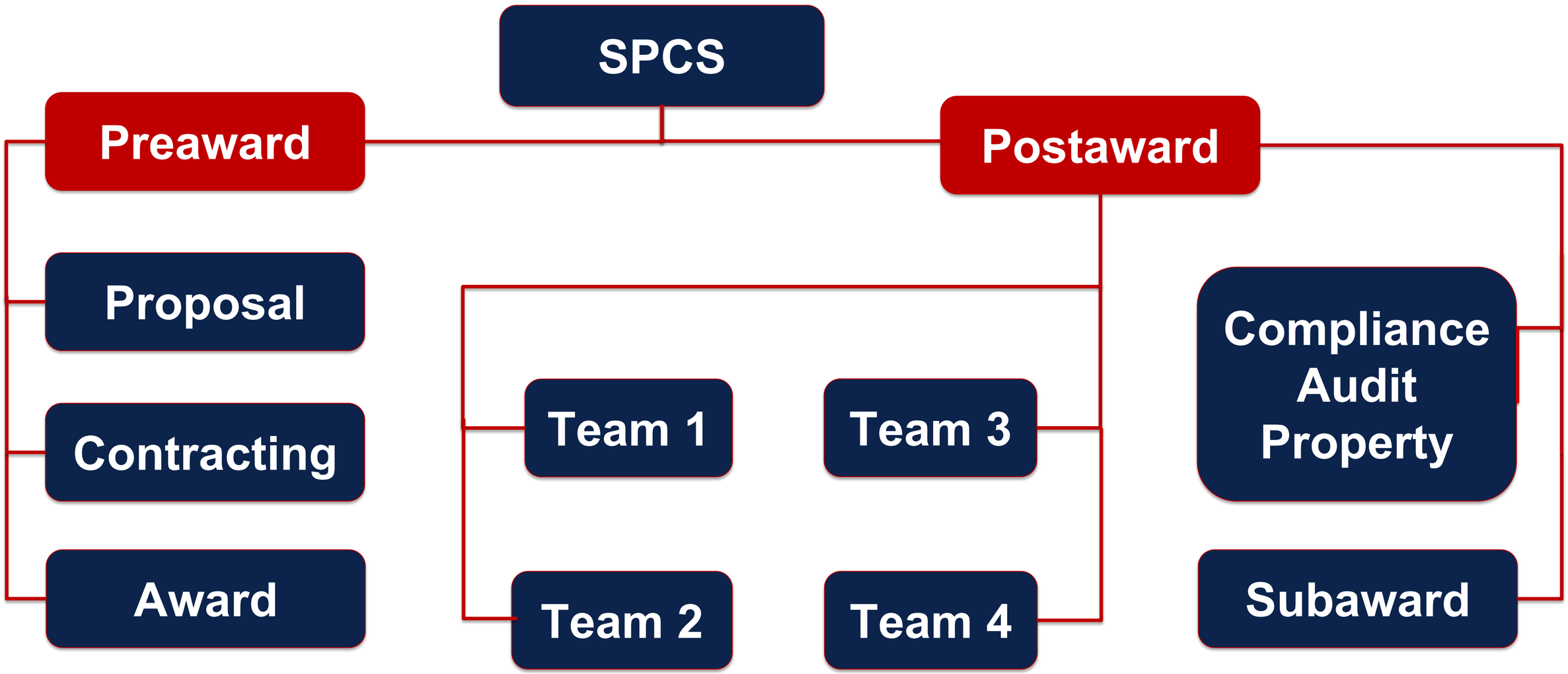

Preaward: Proposal Services

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- Application and sponsor instructions
- Institutional review
- UAccess Research (UAR) online routing assistance
- Authorized final approval/submission

"3-Day Deadline"

- Late Proposal Request web form rgw.arizona.edu
- Dean approval required for *Late Proposal Requests*

Proposal Approvals – Why?

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- **Department and College**
  - Effort and resources
  - Department & UA missions
- **SPCS** (Sponsored Projects & Contracting Services)
  - Assurance of compliance
  - Sponsor regulations and University policies
- **RDI** (Research, Discovery & Innovation)
  - Institutional cost sharing
  - F&A waivers (overhead, Facilities & Admin.)

Preaward: Award Services

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- Award intake
- (SPCS Contracting Services signs)
- Verify proposal approvals
- COI compliance (Conflict of Interest)
- Record awards in UAccess Research

"Department Hold"

- Remember to route a UAR proposal

Types of Agreements

- Assistance
  - Grants
  - Cooperative Agreements
- Procurement
  - Contracts
    - Cost Reimbursement
    - Fixed Price

Award documents and signature

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- Unilateral award (does not require signatures)
  - Most grants, coop agreements

Award documents and signature

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- Bilateral awards (require signatures)
  - Contracts
    - »Also requires PI approval

Why does that matter? Processing time?

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- Unilateral awards are generally assistance agreements that are easier to process and setup

- Bilateral awards are generally deliverable based, specific, and require negotiation from both parties

Postaward Services (Fund Accountant Teams)

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- Account set up
- Invoices and financial reports
- Financial oversight and guidance – approvals
- Closeout

Timeliness is key!

- Expenses,
- Reports
- Closeout

Compliance

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- Effort reporting, cost sharing
- Property
- Audit coordination

Subawards

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– Outgoing subawards

- Welcome letter
- Negotiation & obtain partially signed agreement and compliance
- Notify department to initiate requisition
- Send fully executed to subawardee and dept.
- Subrecipient monitoring

Common Issues Recap

- **Preaward**:
  - Proposal routing (3-day deadline)
  - "Department hold" for awards
- **Postaward**:
  - Timely and justified expenditures
  - Technical/Progress reporting

Let’s work together!

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- **Preaward**:
  - Involve supporters early when you have a proposal
  - Plan time for routing (3-day deadline)
  - Send award documents as soon as you get them

Let's work together!

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- **Postaward**:
  - Review award T&Cs – find relevant regulations
  - Before a purchase: is it reasonable, allocable, consistent?
  - Document the purchase well, especially unusual purchases
  - Contact your SPCS fund accountant for guidance

SPCS Training and Outreach

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- Monthly UA Research Admin Forum
- Online Learning
- Call us for custom training via web call
- https://rgw.arizona.edu/research-resources/training/administrators

SPCS is here to help!

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- Sponsored Projects & Contracting Services (SPCS):
  - Phone: 626-6000
  - E-mail: sponsor@email.arizona.edu
- The Research Gateway website at rgw.arizona.edu