

Export Control Determination

The U.S. Citizenship and Immigration Services **Form I-129 Section 6** requires the **Responsible Party supervising the foreign national employee/applicant** “certify” review of export regulations and determination that a license is/is not required. This is required for any technology or technical data released to a prospective **H-1B, H-1B1 (Chile & Singapore), L-1, or O-1A visa** applicant during employment.

Review [federal export regulations](#) and complete the chart. Direct questions to export@arizona.edu.

| | |
|--|--------------|
| Name of Applicant: | Citizenship: |
| <p>1. Did the Visual Compliance screening yield a potential match? ___ Yes ___ No</p> <p>2. Will the applicant be involved in a project:</p> <p style="margin-left: 20px;">a. Has or will have a Technology Control Plan (TCP)? ___ Yes ___ No</p> <p style="margin-left: 20px;">b. Has restrictions on the release of certain project information? ___ Yes ___ No</p> <p style="margin-left: 20px;">c. Has publication or access and dissemination restrictions? ___ Yes ___ No</p> <p style="margin-left: 20px;">d. Has a military connotation or end-use? ___ Yes ___ No</p> <p style="margin-left: 20px;">e. Is sponsored by a defense agency? ___ Yes ___ No</p> <p style="margin-left: 20px;">f. Is related to space, missile technology, or biological/chemical weapons? ___ Yes ___ No</p> <p style="margin-left: 20px;">g. Requires sponsor approval of foreign national or no foreign nationals permitted? ___ Yes ___ No</p> <p style="margin-left: 40px;"><i>(Review agreements to confirm.)</i></p> | |
| Will the applicant be involved access: | |
| <p>3. ITAR-controlled data, technology, materials, information, software, or equipment? ___ Yes ___ No</p> <p>4. EAR-controlled technology or encryption software code? ___ Yes ___ No</p> | |

Your signature certifies the information you provided above is true and correct, that you reviewed the export control regulations, and if a license is required the Applicant will not receive technical data/technology or use certain export-controlled equipment without the appropriate government license. Export Control will notify upon approval.

Printed Name of Responsible Party

Responsible Party Signature

Date

Email/Phone)

Department

Department Approval

Date

Dean, Associate Dean for Research, or Department Head

YES to any questions above, send to Export Control (export@arizona.edu) before completing the Export Control Certification.

NO to all questions, complete and select *Option 1* on the Export Control Certification. Provide this Export Control Determination and the Certification to International Faculty & Scholars with the H-1B or O-1 Request.

EXPORT CONTROL DETERMINATION:

- An export license is **NOT** required, select **OPTION 1** on Export Control Certification.
- An export license is **required**, select **OPTION 2** on Export Control Certification.

Determination made by _____

Date _____