

Please complete electronically.

UA Account / NLID:		Sponsor:	
PI (Principal Investigator):		Prime Sponsor:	
College / Dept.			

PART A: College/Dept. Completed by: _____ Date: _____	YES	NO
1. Were there any matches on the required RPS screenings (UA and non-UA personnel)?		
2. Activity occurs in a foreign country . IF YES: Country(s):		
3. Involves persons or companies located outside of the U.S. (includes sponsors) IF YES: Country(s):		
4. PROYHVR86EWH08LW3LW86 / WHR0R1E1W0LSDGQWLI 8635 W Green card holder.		
5. Technical data / technology expected to receive/exchange (e.g., blueprints, diagrams, models, formulae, designs or specifications, configuration or integration design, manuals, instructions). IF YES: Provide details (research topic, type of data/technology, and/or export classification from Sponsor):		
6. Equipment from the sponsor or a third party to be received. IF YES: Request export control classification & provide description:		
7. Substances/chemical compounds involved, such as explosive materials (e.g., DNAN, NTO) or propellants and constituent chemicals for propellants. IF YES: List (include CAS as possible):		
8. Defense agency, defense contractor, or military-related involved (i.e., missiles, explosives, military training, military vehicles, vessels, equipment).		
9. Transfer of goods, services, information, or technology abroad expected. IF YES: Describe:		
10. Involves nuclear, chemical, biological weapons, missile, or space technology (excludes astronomical obs.) IF YES: Describe:		
11. Encryption source code or source code incorporating encryption functionality is involved.		

PART B: SPCS Reviewed by: _____ Date: _____	YES	NO
1. Sponsor must approve/disapprove publication (excluding reasonable reviews for patents or proprietary information) or restrict the dissemination of research (e.g., DFARS 252.204.7000 or FAR 52.227-17 clauses).		
2. DFARS 252.204-7008, 252.204-7012, 252.204-7019, 252.204-7020, 252.204-7021 or clause from NASA (1852.204-76) or other agency requiring an IT security plan.		
3. IF YES to Q1 and/or Q2: Fundamental Research written confirmation received from the Contracting Officer (CO). For the 7000 clause the waiver must come from the Government Agency CO.		
4. Non-standard export language , beyond adhering to regulations.		
5. Prohibits involvement of non-U.S. persons, or persons from certain countries, and/or require UA to identify or seek Sponsor prior approval for foreign national participation.		

PART C: UECP Reviewed by: _____ Date: _____	YES	NO
• A Technology Control Plan (TCP) is required prior to signing agreement.		
• Controlled Unclassified Information (CUI) is applicable.		
• A license or other government authorization is required.		

NOTES: