



I-129 Checklist for Export Control Determination

U.S. Citizenship and Immigration Services (USCIS) [Form I-129](#) Part 6 requires the **Responsible Party (RP)** supervising the foreign national employee/applicant to assess **export control issues** and **certify** whether a license is required for any technology or technical data to be released to a prospective **H-1B, H-1B1 (Chile & Singapore), L-1, or O-1A visa applicant** during their employment.

To determine if a license is needed, the RP should review [Federal Export Regulations](#), then answer the following questions. Please contact [Export Control](#) with any questions.

Name of Applicant: _____	Citizenship: _____
1. Did the Visual Compliance screening yield a potential match?..... <input type="checkbox"/> Yes <input type="checkbox"/> No	
2. Is the applicant currently involved (or <i>will</i> they be involved if a new hire) with a project that:	
a. Has or will have a Technology Control Plan (TCP)?..... <input type="checkbox"/> Yes <input type="checkbox"/> No	
b. Has restrictions on the release of certain project information?..... <input type="checkbox"/> Yes <input type="checkbox"/> No	
c. Has publication or access and dissemination restrictions? <input type="checkbox"/> Yes <input type="checkbox"/> No	
d. Has a military connotation or end-use?..... <input type="checkbox"/> Yes <input type="checkbox"/> No	
e. Is sponsored by a defense agency? <input type="checkbox"/> Yes <input type="checkbox"/> No	
f. Is related to space, missile technology, or biological/chemical weapons?..... <input type="checkbox"/> Yes <input type="checkbox"/> No	
g. Requires sponsor approval of foreign nationals or does not permit foreign nationals? <input type="checkbox"/> Yes <input type="checkbox"/> No	
3. Does the applicant currently have access (or <i>will</i> they have access if a new hire) to:	
a. ITAR-controlled data, technology, materials, information, software, or equipment? <input type="checkbox"/> Yes <input type="checkbox"/> No	
b. EAR-controlled technology or encryption software code?..... <input type="checkbox"/> Yes <input type="checkbox"/> No	

Your signature certifies the information you have provided is true and correct and that you reviewed the export control regulations. If a license is required, the Applicant will not receive technical data/technology or use certain export-controlled equipment without the appropriate government license.

Responsible Party Name	Responsible Party Signature	Date
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Department	Departmental Approval (Dean, Assoc. Dean for Research, or Department Head)	Date
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- If you answered **YES** to *any* questions above, send this document to [Export Control](#) for review before completing the [Export Control Certification](#).
- If you answered **NO** to *all* questions, complete and select OPTION 1 on the [Export Control Certification](#). Send this document, the Certification, and the H-1B or O-1 Request to [International Faculty & Scholars](#).

EXPORT CONTROL DETERMINATION:

- An export license **IS NOT REQUIRED**. Select OPTION 1 on the Export Control Certification
- An export license **IS REQUIRED**. Select OPTION 2 on the Export Control Certification.

Determination made by: _____	_____
Name	Date