

## I-129 Export Control Checklist

U.S. Citizenship and Immigration Services (USCIS) [Form I-129](#) Part 6 requires the **Responsible Party (RP)** supervising the foreign national employee/applicant to assess **export control issues** and **certify** whether a license is required for any technology or technical data to be released to a prospective **H-1B, H-1B1 (Chile & Singapore), L-1, or O-1A visa applicant** during their employment.

To determine if a license is needed, the RP should review [Federal Export Regulations](#), then answer the following questions. Please contact [Export Control](#) with any questions.

Name of Applicant: _____	Citizenship: _____
<p>1. Did the <a href="#">Visual Compliance</a> screening yield a potential match?..... <input type="checkbox"/> Yes <input type="checkbox"/> No</p> <p>2. Is the applicant currently involved (or <i>will</i> they be involved if a new hire) with a project that:</p> <p style="margin-left: 20px;">a. Has or will have a Technology Control Plan (TCP)?..... <input type="checkbox"/> Yes <input type="checkbox"/> No</p> <p style="margin-left: 20px;">b. Has restrictions on the release of certain project information?..... <input type="checkbox"/> Yes <input type="checkbox"/> No</p> <p style="margin-left: 20px;">c. Has publication or access and dissemination restrictions? ..... <input type="checkbox"/> Yes <input type="checkbox"/> No</p> <p style="margin-left: 20px;">d. Has a military connotation or end-use?..... <input type="checkbox"/> Yes <input type="checkbox"/> No</p> <p style="margin-left: 20px;">e. Is sponsored by a defense agency? ..... <input type="checkbox"/> Yes <input type="checkbox"/> No</p> <p style="margin-left: 20px;">f. Is related to space, missile technology, or biological/chemical weapons?..... <input type="checkbox"/> Yes <input type="checkbox"/> No</p> <p style="margin-left: 20px;">g. Requires sponsor approval of foreign nationals or does not permit foreign nationals? ..... <input type="checkbox"/> Yes <input type="checkbox"/> No</p> <p>3. Does the applicant currently have access (or <i>will</i> they have access if a new hire) to:</p> <p style="margin-left: 20px;">a. ITAR-controlled data, technology, materials, information, software, or equipment? ..... <input type="checkbox"/> Yes <input type="checkbox"/> No</p> <p style="margin-left: 20px;">b. EAR-controlled technology or encryption software code? ..... <input type="checkbox"/> Yes <input type="checkbox"/> No</p>	

Your signature certifies the information you have provided is true and correct and that you reviewed the export control regulations. If a license is required, the Applicant will not receive technical data/technology or use certain export-controlled equipment without the appropriate government license.

Responsible Party Name \_\_\_\_\_

Responsible Party Signature \_\_\_\_\_

Date \_\_\_\_\_

Department \_\_\_\_\_

Departmental Approval (Dean, Assoc. Dean  
for Research, or Department Head) \_\_\_\_\_

Date \_\_\_\_\_

- If you answered **YES** to *any* questions above, send this document to [Export Control](#) for review before completing the [Export Control Certification](#).
- If you answered **NO** to *all* questions, complete and select **OPTION 1** on the Export Control Certification.\* Send this document, the Certification, and the H-1B or O-1 Request to [International Faculty & Scholars](#).

### EXPORT CONTROL DETERMINATION:

- ☐ An export license **IS NOT REQUIRED**. Select **OPTION 1** on the Export Control Certification
- ☐ An export license **IS REQUIRED**. Select **OPTION 2** on the Export Control Certification.

Determination made by: \_\_\_\_\_  
Name

\_\_\_\_\_ Date